

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/695,383

Confirmation No. : 5301

Applicant: Ralph James

: October 27, 2003

Attorney Docket No.: 501328.01 (30313/US)

Art Unit : 2188

Filed

: 27,076 Customer No.

Examiner : Craig E. Walter

Title

: SYSTEM AND METHOD FOR USING A LEARNING SEQUENCE TO ESTABLISH

COMMUNICATIONS ON A HIGH-SPEED NONSYNCHRONOUS INTERFACE IN

THE ABSENCE OF CLOCK FORWARDING

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. A copy of the non-patent literature reference, as required under 37 C.F.R. § 1.98(a)(2), is enclosed. Copies of the cited U.S. patents and published applications will not be submitted herewith in accordance with the waiver by the Office of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for U.S. national patent applications filed after June 30, 2003. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

68/25/2006 MNGUYENI 00000042 10695383

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180.00 GP

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 11/432,015, describing and claiming subject matter that is similar to the subject matter of this application, was filed on May 10, 2006. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d)

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 11/062,075, describing and claiming subject matter that is similar to the subject matter of this application, was filed on February 18, 2005. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d)

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 11/432,013, describing and claiming subject matter that is similar to the subject matter of this application, was filed on May 10, 2006. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d)

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 11/417,389, describing and claiming subject matter that is similar to the subject matter of this application, was filed on May 3, 2006. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d)

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 11/432,018, describing and claiming subject matter that is similar to the subject matter of this application, was filed on May 10, 2006. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d)

Applicant also wishes to make known to the Patent and Trademark Office that applications, Serial Nos. 11/399,986, 11/399,873 and 11/399,905, describing and claiming subject matter that is similar to the subject matter of this application, were filed on April 7, 2006. These related applications, as well as any prior art cited therein, may be material to the examination of this application. The specification, claims, abstract and drawings of these related applications are identical, therefore only 1 copy as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d)

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 11/432,017, describing and claiming subject matter that is similar to the subject matter of this application, was filed on May 10, 2006. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d)

I hereby certify that no item set forth on the attached form PTO-1449 was first cited in a communication from a foreign patent office in a counterpart foreign application and, to our knowledge, after making reasonable inquiry, no item of information set forth on the attached form PTO-1449 was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. (37 C.F.R. § 1.97(e)(2))

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(d). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted, DORSEY & WHITNEY LLP

Kimton N. Eng

Registration No. 43,605

EWB/KNE:dms

Enclosures:

Postcard Check

Fee Transmittal Sheet (+copy)

Copy of SIDS

Form PTO-1449

Cited References (1)

Related Applications (7)

1420 Fifth Avenue, Suite 3400

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Sheet <u>1</u> of <u>1</u> ATTY. DOCKET NO. APPLICATION NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV.7-80) PATENT AND TRADEMARK OFFICE 501328.01 10/695,383 APPLICANT(S) INFORMATION DISCLOSURE STATEMENT % Ralph James (Use several sheets if necessary) AUG 24 2006 FILING DATE GROUP ART UNIT October 27, 2003 2188 DISTRACIENT DOCUMENTS *EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE INITIAL IF APPROPRIATE 6,226,729 B1 05/01/01 Stevens et al. 711 171 AA 711 6,636,957 B2 10/21/03 Stevens et al. 171 AB Ozeki et al. 6,651,139 B1 11/18/03 711 118 AC 08/03/04 D'Antonio et al. 710 6,772,261 B1 301 AD Reeves et al. 365 7,016,213 B2 03/21/06 63 ΑE 7,016,606 B2 03/21/06 Cai et al. 398 27 AF 713 7,024,547 B2 04/04/06 Kartoz 1 AG 04/25/06 Mittal et al. 370 230 7,035,212 B1 AΗ 09/20/01 Kyozuka et al. 711 114 2001/0023474 A1 ΑI 06/12/03 Kartoz 713 1 2003/0110368 A1 AJ 2004/0123088 A1 06/24/04 Poisner et al. 713 1 ΑK 07/29/04 Grundy et al. 711 2004/0148482 A1 167 AL11/18/04 Polzin et al. 710 22 2004/0230718 A1 ΑM 07/28/05 2005/0162882 a1 Reeves et al. 365 63 AN ΑO FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AP							
AQ							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Gommans et al., "Applications Drive Secure Lightpath Creation Across Heterogeneous Domains", Communications Magazine, IEEE, Vol. 44, Issue 3, March 2006 (Abstract Only)

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

AUG \$ 4 2006							
Effective on 12/08/04		Complete if Known					
	Applic	Application No.		10/695,383			
FEE TRANSMITTAL SHEET	Filing	Date		October 27, 2003			
	First I	First Inventor		Ralph James			
(FY 2006)	Group	Art Unit		2188			
	Exam	iner Name	1	Craig E. Walter			
Applicant claims small entity status (see 37 C.F.R. 1.27)	Atty. D	ocket Nun	nber	501328.01 (30313/US)			
METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)					
X The Director is hereby authorized to charge any additional fee		3. ADDITIONAL FEES					
required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No. 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP.	Large Entity Fee	y Entity	Fee	Description	Fee paid		
X Check Enclosed.	50	25	COVE	charge - late provisional filing fee or sheet	\$		
Extra Claim Fees	130	65		Surcharge – Late nonprovisional filing fee or oath			
Current Claims Prior Extra Fee Fee Paid Total - 20 = x \$ = \$	180	180		mission of IDS	\$180		
Ind 3 = x \$ =\$	40	40		Recording each patent assignment per property (times number of properties)			
Multiple Dependent Claims x \$ = \$ Subtotal (Extra Claims) \$	120	60		nsion for reply within first month	\$		
	450	225	Exte	nsion for reply within second month	\$		
Petition Fee Under 37 CFR 1.17(f), (g), & (h) Enclosed is a Petition filed under 37 CFR as indicated below:	1,02	510	Exte	nsion for reply within third month	\$		
Petition Fee under 37 CFR as indicated below.	1,59	795	Exte	nsion for reply within fourth month	\$		
§ 1.53(e) to accord a filing date.	2,16	•		nsion for reply within fifth month	\$		
§ 1.57(a) to accord a filing date. § 1.182 for decision on a question not provided for.	790			mission After Final 1.129	\$		
§ 1.183 to suspend the rules. § 1.378(e) for reconsideration of decision on petition refusing delayed	500			ce of Appeal			
payment of maintenance fee in expired patent. § 1.174(b) to accord a filing date to an application under §1.740 for extension	500			g a brief in support of an appeal	\$		
of patent term. Petition Fee under 37 CFR 1.17(g) Fee \$200	1,00			uest for oral hearing ninal Disclaimer Fee	\$		
§ 1.12 for access to an assignment record.	800			ign Issue Fee	\$		
§ 1.14 for access to an application. § 1.47 for filling by other than all inventors or person not the inventor § 1.59 for expungement of information.			Req	uest for Continued Examination	\$		
§ 1.103(a) to suspend action in an application. § 1.136(b) for review of a request for ext. of time when §1.136(a) not available.			(RCE) Request for voluntary publication or				
§ 1.295 for review of refusal to publish a statutory invention registration. § 1.296 to withdraw a reg, for pub. after notice of intent to publish issued.			•	blication	\$		
§ 1.377 for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent.	500 1,50			tion to Revive – unavoidable	<u> </u>		
§ 1.550(c) for request for ext. of time in ex parte reexam. proceedings.	200			g for patent term adjustment	\$		
 § 1.956 for request for ext. of time in ex parte reexam. proceedings. § 5.12 for expedited handling of foreign filing license. § 5.15 for changing the scope of a license. 	400			uest for reinstatement of term	\$		
§ 1.5.25 for retroactive license. Petition Fee under 37 CFR 1.17(h) Fee \$130	1,12	0		ension of term of patent	\$		
§ 1.19(g) to request documents in a form other than provided in this part § 1.84 for accepting color drawings or photographs.	01111	OTHER FEE (specify)			\$		
§ 1.91 for entry of a model or exhibit. § 1.102(d) to make an application special. § 1.138(c) to expressly abandon an application to avoid publication.		• •		Subtotal (Additional Fees)	\$180		
§ 1.313 to withdraw an application from issue. § 1.314 to defer issuance of a patent.				Total Amount of Payment:	\$180		
Submitted by:							
CUSTOMER NUMBER DORSEY & WHITNEY LLP 27,076	8	1420 Fifth Avenue, Suite 3400 Seattle, WA 98101-4010 (206) 903-8800 phone / (206) 903-8820 fax					
Name: Kimton N. Eng				Reg. No.: 43,605			
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Signature:				8 21 200	20		
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